

# Sandy Morris Financial and Estate Planning Services

## Privacy Notice



SANDY MORRIS  
FINANCIAL & ESTATE PLANNING SERVICES, LLC.

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Regulation S-P, Privacy of Consumer Financial Information, requires financial institutions, including Sandy Morris Financial & Estate Planning Services, to provide notice to current clients and prospective clients about their policies and practices concerning the collection and use of customer, non-public information. This privacy policy notice is given to all prospective clients of Sandy Morris Financial & Estate Planning Services upon entering into a contract with Sandy Morris Financial & Estate Planning Services and annually thereafter.

**Privacy Disclosure Statement.** A primary goal of Sandy Morris Financial & Estate Planning Services is to protect the privacy of its clients. Sandy Morris Financial & Estate Planning Services does not sell the personal information of clients to anyone.

To conduct regular business, Sandy Morris Financial & Estate Planning Services may collect non-public personal information from clients. This information is provided by clients to Sandy Morris Financial & Estate Planning Services on applications and other forms provided by clients to Sandy Morris Financial & Estate Planning Services as well as transactions with the firm, our affiliates, or others.

Sandy Morris Financial & Estate Planning Services may enter into contracts with outside third parties so that Sandy Morris Financial & Estate Planning Services can assist its clients in servicing their accounts. In order to do this, Sandy Morris Financial & Estate Planning Services will disclose personal information to companies that help Sandy Morris Financial & Estate Planning Services process transactions for client accounts (for example, executing client trades through a broker/dealer). However, Sandy Morris Financial & Estate Planning Services does not share or disclose any non-public customer information except as allowed or required by law. In addition to sharing information in order to provide financial services to clients, Sandy Morris Financial & Estate Planning Services may be required to disclose personal information to cooperate with regulators or law enforcement authorities, to resolve customer disputes or for risk control.

**Information Safeguarding.** Sandy Morris Financial & Estate Planning Services has implemented strict policies and procedures aimed at protecting the sensitive nature of client information. Sandy Morris Financial & Estate Planning Services restricts access to client information to only those members of Sandy Morris Financial & Estate Planning Services that must provide products and services to clients in order to service client accounts. Sandy Morris Financial & Estate Planning Services has implemented physical, electronic, and procedural safeguards aimed at meeting Sandy Morris Financial & Estate Planning Services's duty to protect non-public client information.

If you have any questions concerning Sandy Morris Financial & Estate Planning Services's customer privacy policy or concerns about your personal information please feel free to contact, **Steven Zanolli, Chief Compliance Officer at 813-443-0879.**