

Sandy Morris Financial and Estate Planning Services, LLC

Privacy Notice



SANDY MORRIS
FINANCIAL & ESTATE PLANNING SERVICES, LLC.

www.sandymorrisfinancial.com

(813) 443-0879

Regulation S-P, Privacy of Consumer Financial Information, requires financial institutions, including Sandy Morris Financial & Estate Planning Services, to provide notice to current clients and prospective clients about their policies and practices concerning the collection and use of customer, non-public information. This privacy policy notice is given to all prospective clients of Sandy Morris Financial & Estate Planning Services upon entering into a contract with Sandy Morris Financial & Estate Planning Services and annually thereafter.

Privacy Disclosure Statement. A primary goal of Sandy Morris Financial & Estate Planning Services is to protect the privacy of its clients. Sandy Morris Financial & Estate Planning Services does not and will not share non-public personal information of our clients with outside third parties without your consent, except for the specific purposes described below.

To conduct regular business, Sandy Morris Financial & Estate Planning Services may collect non-public personal information from clients. This information is provided by clients to Sandy Morris Financial & Estate Planning Services on applications and other forms provided by clients to Sandy Morris Financial & Estate Planning Services as well as through transactions with the firm, our affiliates, or others.

Sandy Morris Financial & Estate Planning Services may enter into contracts with outside third parties so that Sandy Morris Financial & Estate Planning Services can assist its clients in servicing their accounts. To do this, Sandy Morris Financial & Estate Planning Services will disclose personal information to only those individuals associated or employed with us that must have this information to provide financial services to you. These services include processing transactions for client accounts (for example, executing client trades through a broker/dealer), providing financial planning and other advisory services described in our Form ADV.

Sandy Morris Financial & Estate Planning Services does not share or disclose any non-public customer information except as permitted or required by law. Permitted disclosures include, for instance, providing information to unrelated third parties who need to know such information in order to assist us with providing services to you. Unrelated third parties may include broker/dealers, mutual fund companies, insurance companies, and the custodian with whom your assets are held. In addition to sharing information in order to provide financial services to clients, Sandy Morris Financial & Estate Planning Services may be required to disclose personal information to cooperate with regulators or law enforcement authorities, to resolve customer disputes or for risk control. Employees who violate our Privacy Policy are subject to disciplinary action, up to and including termination from employment with us.

Information Safeguarding. Sandy Morris Financial & Estate Planning Services has implemented strict policies and procedures aimed at protecting the sensitive nature of client information. Sandy Morris Financial & Estate Planning Services has implemented physical, electronic, and procedural safeguards aimed at meeting Sandy Morris Financial & Estate Planning Services duty to protect non-public client information.

Even if we cease to provide you with financial products or services, our Privacy Policy will continue to apply to you and we will continue to treat your nonpublic information with strict confidentiality.

If you have any questions concerning Sandy Morris Financial & Estate Planning Services customer privacy policy or concerns about your personal information please feel free to contact,

Steven Zanolli, Chief Compliance Officer at 813-443-0879.